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Patent and Trademark Office

## TRANSMITTAL FORM

(to be used for all correspondence during pendency of  
filed application)

		Application Number	10/661,152
		Filing Date	September 12, 2003
		First Named Inventor	Norikazu Endo
		Group Art Unit Number	2641
		Examiner Name	Unknown
Total Number of Pages in This Submission	7*	Attorney Docket Number	23230-08142

### ENCLOSURES (check all that apply)

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<input checked="" type="checkbox"/> Information Disclosure Statement & PTO/SB/08A	<input checked="" type="checkbox"/> Copy of document entitled "Limited Recognition Under 37 CFR §10.9(b)"
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REMARKS: \*Does not include copies of IDS cited references.

### SIGNATURE OF ATTORNEY OR AGENT

Signature:			
Attorney/Reg. No.:	Jae Won Song, 37 C.F.R. §10.9(b) [now 37 C.F.R. §11.9(b)]	Dated:	April 21, 2005

### CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:			
Typed or Printed Name:	Jae Won Song	Dated:	April 21, 2005
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

LICANT: Norikazu Endo and Benjamin K. Reaves  
APPLICATION NO.: 10/661,152  
FILING DATE: September 12, 2003  
TITLE: Method and System for Adjusting the Voice Prompt of an Interactive System Based Upon the User's State  
EXAMINER: Unknown  
GROUP ART UNIT: 2641  
ATTY. DKT. NO.: 23230-08142

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Dated: April 21, 2005

By:   
Jae Won Song, 37 C.F.R. §10.9(b)  
[now 37 C.F.R. §11.9(b)]

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**INFORMATION DISCLOSURE STATEMENT  
Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

A concise explanation in accordance with 37 CFR §1.98(a)(3) of the relevance of the cited references that are not in the English language are believed to be set forth in the (i) English abstract accompanying each of the non-English references, (ii) the International Search Report for International Application No. PCT/JP2004/13692, dated December 28, 2004, a copy of which is enclosed herewith, (iii) the Written Opinion of the International Searching Authority for International Application No. PCT/JP2004/13692, dated December 28, 2004, a copy of which is enclosed herewith, and (iv) the English translations of some of the non-English references enclosed herewith.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or

that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
  - Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
  - on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
    - Applicant certifies pursuant to 37 CFR. § 1.97(e) that:

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- each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
- no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.

Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
NORIKAZU ENDO AND BENJAMIN K. REAVES

Dated: April 21, 2005

By:   
Jae Won Song, 37 C.F.R. §10.9(b)  
[now 37 C.F.R. §11.9(b)]  
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

Sheet

1

of

2

Complete if Known	
Application No.	10/661,152
Filing Date	September 12, 2003
First Named Inventor	Norikazu Endo
Art Unit	2641
Examiner Name	Unknown

Attorney Docket Number 23230-08142

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		2002-0109602 A1	08-15-2002	Shinada et al.
		6,249,720	06-19-2001	Kubota, et al.

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
		JP 2002-221429 A	8-09-2002	Sony Corporation	
		JP 2002-221429 A (English Abstract)	8-09-2002	Sony Corporation	
		JP 2002-123289 A	4-26-2002	Matsushita Electric Industrial Co., Ltd.	
		JP 2002-123289 A (English Abstract)	4-26-2002	Matsushita Electric Industrial Co., Ltd.	
		JP 2001-272991 A	10-05-2001	Sanyo Electric Co., Ltd.	X
		JP 2001-272991 A (English Abstract)	10-05-2001	Sanyo Electric Co., Ltd.	
		JP 2001-215993 A	8-10-2001	Sony Corporation	X
		JP 2001-215993 A (English Abstract)	8-10-2001	Sony Corporation	
		JP 2001-83984 A	3-30-2001	Alpine Electronics, Inc.	
		JP 2001-83984 A (English Abstract)	3-30-2001	Alpine Electronics, Inc.	
		JP 11-259271 A	9-24-1999	Equos Research Co., Ltd.	
		JP 11-259271 A (English Abstract)	9-24-1999	Equos Research Co., Ltd.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application No.	10/661,152
				Filing Date	September 12, 2003
				First Named Inventor	Norikazu Endo
				Art Unit	2641
				Examiner Name	Unknown
Sheet	2	of	2	Attorney Docket Number	23230-08142

## FOREIGN PATENT DOCUMENTS

Foreign Patent Document					
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
		JP 10-55194 A	2-24-1998	Sanyo Electric Co., Ltd.	
		JP 10-55194 A (English Abstract)	2-24-1998	Sanyo Electric Co., Ltd.	

## OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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